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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: An Duc Nguyen ET AL.

Appln. No.: 10/821,520

Filed: April 9, 2004

For: Acoustic Transducer with Folded  
Diaphragm

Attorney Docket No: 11336/713 (P03034USU1)

Examiner:

Art Unit: 2837

### INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following references:

#### U.S. Patent Documents

| No.       | Date       | Applicant |
|-----------|------------|-----------|
| 4,056,697 | 11-01-1977 | Heil      |
| 4,385,210 | 05-24-1983 | Marquiss  |
| 4,550,228 | 10-29-1985 | Walker    |
| 4,903,308 | 02-20-1990 | Paddock   |
| 5,283,836 | 02-01-1994 | Truffitt  |
| 5,872,855 | 02-16-1999 | Porrazzo  |
| 6,137,891 | 10-24-2000 | Porrazzo  |
|           |            |           |

#### Foreign Patent Documents

| No.               | Date       | Country |
|-------------------|------------|---------|
| WO 00/41492 A2,A3 | 07-20-2000 | WIPO    |
| WO 02/03748 A2,A3 | 01-10-2002 | WIPO    |

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

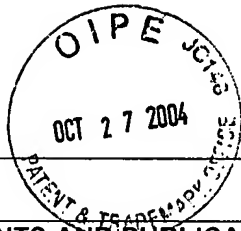
Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,



October 27, 2004  
Date

Cyrus F. Bharucha (Reg. No.42,324)



|   |                              |                                    |
|---|------------------------------|------------------------------------|
| FORM PTO-1449   | SERIAL NO.<br>10/821,520     | CASE NO.<br>11336/713 (P03434USU1) |
| <b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b><br>(use several sheets if necessary) | FILING DATE<br>April 9, 2004 | GROUP ART UNIT<br>2837             |
| APPLICANT(S): An Duc Nguyen, <i>et al.</i>  |                              |                                    |

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | NAME     | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|----|--|------------|----------|--------------------|----------------|
|                     | A1 | 4,056,697  | 11-01-1977 | Heil     | 381/408            | 09-03-1976     |
|                     | A2 | 4,385,210  | 05-24-1983 | Marquiss | 381/431            | 09-19-1980     |
|                     | A3 | 4,550,228  | 10-29-1985 | Walker   | 381/186            | 02-22-1983     |
|                     | A4 | 4,903,308  | 02-20-1990 | Paddock  | 381/430            | 02-10-1988     |
|                     | A5 | 5,283,836  | 02-01-1994 | Truffitt | 381/428            | 03-23-1992     |
|                     | A6 | 5,872,855  | 02-16-1999 | Porrazzo | 381/400            | 03-22-1995     |
|                     | A7 | 6,137,891  | 10-24-2000 | Porrazzo | 381/408            | 05-05-1997     |
|                     |    |  |            |          |                    |                |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|----|--|------------|---------|--------------------|--------------------------|
|                     | A8 | WO 00/41492 A2,A3  | 07-20-2000 | WIPO    |                    |                          |
|                     | A9 | WO 02/03748 A2,A3  | 01-10-2002 | WIPO    |                    |                          |
|                     |    |  |            |         |                    |                          |
|                     |    |  |            |         |                    |                          |
|                     |    |  |            |         |                    |                          |

| EXAMINER<br>INITIAL | <b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b><br>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |  |
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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.